IAPS Rec'd PCT/RTO 19 APR 2000

DMMERCE PATENT AND TRADEMARK OFFICE U.S. DEPARTMENT Form PTO-1390 EY DOCKET NUMBER (REV. 11-2000) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/528,897 CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/NZ2003/000218 24 September 2003 26 September 2002 TITLE OF INVENTION MODULAR BATTERY MANAGEMENT APPARATUS WITH CELL SENSING AND ENERGY REDISTRIBUTION CAPABILITIES APPLICANT(S) FOR DO/EO/US Phillip Mark Hunter; Timothy Clarence Johnson; Darren Tze Chang Lim; Adnan Anbuky Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (22) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4) Amendments to the claims of the International Application Under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). \Box have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report Under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 21 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. An Application Data Sheet under 37 CFR 1.76. 16. A substitute specification. 17. A change of power of attorney and/or address letter. 18. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 19. A second copy of the published international application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)

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21. Other items or information: Extension of Time (4 months), Part 1 - Notification of Missing Requirements

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U.S. APPLICA 10/528,897	ATION NO. (if know	vn, see 37 CFR 1.5)		NATIONAL APPLIC	CATION	NO.	ATTORNEY DOCKET NO. 9405-4	
	owing fees are sub	mitted:	<u> </u>	22003/000210			CALCULATIONS	
a) Basic nati	onal fee					\$300.00		
b) Examination fee								
c) Search fee								
		TOTAL (OF ABO	VE CALCULAT	'IONS	= \$1000.00		
listing or cor		cation and drawings file sting filed in an electror or fraction thereof.						
Total Sheets	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)			RATE		
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		NT CLAIM(S) (if				+ \$360.00	\$	
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reduce	ed by 1/2					SUBTOTAL =	\$	
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months fro	m the earliest cla	aimed priority date (3	37 CFR				\$ \$	
	TOTAL NATIONAL FEE =							
Fee for Recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							s	
TOTAL FEES ENCLOSED =							\$1,720.00	
04/24/2006 GFREY1 00000121 10528897							Amount to be	\$
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b. P	Please charge my his sheet is enclo	Deposit Account No osed.	o. 50-02	220 in the amour	nt of \$_	to cover the a	month extension of time above fees. A duplicate	copy of
	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0220. A duplicate copy of this sheet is enclosed.							
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
		priate time limit und re the application to			not be	en met, a petition	to revive (37 CFR 1.13	7(a) or (b)) must be
SEND ALL CORRESPONDENCE TO:							Mille	
		& Sajovec, P.A.				Date: April 13, 20	006	
Raleig	Raleigh, North Carolina 27627							ıc
	Telephone: (919) 854-1400 CERT						IFICATE OF MAILIN	ıu
Facsimile: (919) 854-1401 Customer No. 20792 I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 13, 2006.								e addressed to: Mail
				Ca	ndi L.	Riggs		-107-77





United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dec 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO.

Phillip Mark Hunter 10/528,897

9405-4

INTERNATIONAL APPLICATION NO.

PCT/NZ03/00218

I.A. FILING DATE

PRIORITY DATE

09/24/2003

09/26/2002

CONFIRMATION NO. 1727 371 FORMALITIES LETTER

OC000000017283552

20792 MYERS BIGEL SIBLEY & SAJOVEC PO BOX 37428 RALEIGH, NC 27627

Date Mailed: 10/20/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/23/2005
- Copy of the International Search Report filed on 03/23/2005
- Preliminary Amendments filed on 03/23/2005
- Information Disclosure Statements filed on 03/23/2005
- U.S. Basic National Fees filed on 03/23/2005
- Priority Documents filed on 03/23/2005

10-24-05 A10:00 IN

The applicant needs to satisfy supplemental fees problems indicated below.

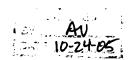
The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

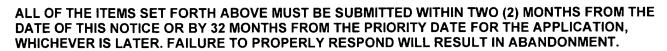
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.





The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/528,897	PCT/NZ03/00218	9405-4

FORM PCT/DO/EO/905 (371 Formalities Notice)